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**DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT AND TRIBAL REPRESENTATIVES
NATIVE AMERICAN HOUSING & SELF-DETERMINATION FORMULA NEGOTIATED RULEMAKING
COMMITTEE 2013**

COMMITTEE-ENDORSED ORGANIZATIONAL PROTOCOLS: Approved by the Committee 9/18/2013

Preamble

The Native American Housing Assistance & Self-Determination Negotiated Rulemaking Committee on Formula Allocation has been established pursuant to Public Law 104-330, as amended, and is further detailed in a Committee Charter approved on August 28, 2013. The Committee is charged with review of the Indian Housing Block Grant (IHBG) allocation formula established in 24 C.F.R. Part 1000, subpart D and related sections and other regulatory issues that arise out of the allocation or reallocation of IHBG funds, including actions related to data errors, under the IHBG Program.

I. PARTICIPATION

- a. **Attendance at Meetings.** Committee Members must make a good faith effort to attend all full negotiating sessions. In the event a Committee Member or his/her designated alternate fails to attend three consecutive full negotiating sessions, the Committee may ask HUD to remove the member from the Committee and ask HUD to designate a replacement member.
- b. **Designated Alternates.** In the absence of a Committee Member, the Alternate shall have the same rights, responsibilities, duties and functions as a Committee Member during Committee and Work Group participation. Each Committee Member has the discretion to decide who will best represent them in their absence. A Committee Member unable to attend any session shall inform the Committee Co-chair in writing with original signature as to whom they have selected to represent them and will specify the term.
- c. **Constituents' Interests.** Committee Members are expected to represent the concerns and interests of their constituents.
- d. **Public Participation.** Comments from the public will be taken as provided in these Protocols.
- e. **Effect of a Failure to be Present for Vote.** The absence of a Committee Member during a lawful session of a meeting shall not invalidate the vote.

II. MEETINGS

- a. **Quorum.** A quorum of the full Committee shall consist of two-thirds of the members of the Committee who are present during a call of the roll taken on opening day at the start of a negotiated rulemaking Committee meeting. Once a quorum is initially established, the Committee may take action during the remainder of that meeting, including action on succeeding days, as long as the Committee is lawfully in session pursuant to these Protocols, and whether or not a quorum is present.
- b. **Structure.** The negotiations will be conducted consistent with the Committee Charter and Protocols and the Negotiated Rulemaking Act of 1990 ("NRA"), as amended and as adapted to the unique relationship between the Government of the United States and the governments of Indian tribes.
- c. **Open Meetings.** Committee meetings will be announced in the Federal Register prior to the meeting and will be open to the public. Members of the public and persons other than Committee Members will be given opportunities to make comments, raise questions or submit materials for the record. The Committee reserves the right to establish timelines as appropriate and necessary during the meetings.
- d. **Minutes.** The Committee shall observe the requirement of the Charter regarding minutes, records and documents. In addition, draft minutes will be prepared and distributed to Committee Members by the representative of the Department of Housing and Urban

Development (“HUD”) ten (10) calendar days prior to the next meeting. HUD will maintain a record of all minutes and will distribute approved minutes to Committee Members and Tribes.

- e. **Agenda.** Draft meeting agendas will be developed by Principal Federal Government Officer (“PFO”) and Tribal Co-Chairs for approval by the Committee. A draft Agenda for each meeting shall be distributed to all Members such that receipt by the Member will be had not later than 10 calendar days in advance of such meeting.
- f. **Caucus.** Any Committee Member can call a Caucus at any time, which may be a non-public meeting. Parties will be asked for an estimate of the time needed for the caucus. Internal procedures will be determined by each respective caucus. A caucus shall be limited to 60 minutes unless otherwise deemed appropriate by the Committee.
- g. **Time Limitations for Interruptions.** Whenever the Committee process is interrupted, whether for a caucus, recess, lunch break, or any other reason, the Chair or Co-chairs shall announce the exact length of the interruption, and the time when Committee business will resume. The Chair or Co-chairs shall, without exception, reconvene the Committee at the exact time stated. Any Committee member who is not physically present at the designated time of reconvening is subject to Article 1(e) of these Protocols with respect to any action taken in his or her absence.
- h. **Time Limitations on Debate.** Debate on any matter is limited to 2 hours, unless otherwise deemed appropriate by the Committee. The facilitator appointed under Article 8 shall be authorized and directed to enforce the time limitations established by this Article.
- i. **Time Limitation for Non-Committee Members.** It is the intent of these Protocols that Committee Members themselves, and not their agents or representatives, debate the matters that come before the Committee. Nonetheless, a Committee Member may yield up to five minutes one time per matter to a non-Committee Member.

III. DECISIONMAKING

- a. **Consensus.** All decisions of the Committee shall be made by consensus. Subject to Article 6(a) of these protocols, Consensus means unanimous agreement as shown by an absence of expressed objection by any Committee Member present at the Committee meeting with regard to a particular issue.
- b. **Voting.** No Committee Member may abstain on a vote. Unless a Committee Member expressly signals his or her opposition to a proposal, that Committee Member shall be conclusively presumed to have consented to the matter being voted upon, and that Member shall not be allowed to request reconsideration or reopening of the matter. Every Committee vote is subject to the good faith requirements of Article (6) of these Protocols.
- c. **Reconsideration.** A matter on which consensus has been achieved may not be reconsidered by the Committee, except by a consensus vote of the Committee. A proposal with respect to which consensus was not achieved within the two hour time limit may only be reconsidered one time

with a three quarters vote and time limit set by the Committee. Once reopened, a matter still requires consensus to be adopted.

IV. WORK GROUPS/STANDING COMMITTEES

- a. **Work Groups.** Smaller Work Groups may be formed by the Committee from Committee Members or their designees to address specific issues and to make recommendations to the Committee. No more than six Work Groups may be formed at any one time, and each Work Group shall be chaired by a Committee Member within the Work Group who shall establish procedures for conduct of the Work Group in order to expedite the Work Group's work. The Work Group shall appoint a designated secretary to accurately record the Work Group's efforts. Interested persons and members of the public are permitted and encouraged to participate in Work Group proceedings. Each Work Group must include a HUD representative. Work groups are not authorized to make decisions for the Committee as a whole. The agenda for each Work Group shall be distributed with the Agenda for the Committee meeting under Article 2(e) of these Protocols. The Work Group Chair shall report any Work Group recommendation to the Committee, which recommendation must take the form of specific proposed language (if any regulation or regulation change is proposed), together with proposed draft preamble language to accompany that language. At the end of each Work Group session day, the Work Group Chair and HUD's representative shall meet to review progress made at each Work Group session and the Work Group's agenda. Work Groups may report to the Committee both: (1) recommendations reached by consensus; and (2) recommendations reached by majority vote, as long as a summary of any significant dissenting position, as determined by the Work Group Chair, is included in the report.
- b. **Drafting Group.** The full Committee shall establish a Drafting Group of which at least one member will be a HUD representative. Members need not be members of the full Committee, and should possess demonstrated drafting ability. As Work Groups or the full Committee reach agreement on issues, the matter may be referred to the Drafting Group for preparation of precise recommended regulatory language and the required preamble language. The Drafting Group shall also be responsible for preparing a proposed final, comprehensive preamble for presentation to the full Committee. The Drafting Group shall elect its own Committee spokesperson. All language will be referred back to the Work Groups or full Committee. When the Drafting Group cannot agree on language, all competing drafting proposals will be presented to the Work Group or the full Committee.

V. AGREEMENT

- a. **Product of Negotiations.** The intended product of the negotiations is a preliminary report setting forth any proposed regulations, or changes to regulations, that the Committee finds necessary, desirable or convenient. The report shall be in the form of a written statement developed by the Committee Members on behalf of the Secretary of HUD and Tribal Committee representatives, and shall include both proposed rule language and an accompanying proposed preamble. As the Committee makes decisions on individual rulemaking proposals, each such individual decision shall consist of both exact rulemaking language and a proposed accompanying insert into the preamble. The Secretary agrees to use the Committee's

preliminary report and any proposed regulations and preamble as the basis for any proposed rule. Subject to OMB approval, prior to the publication of any proposed rule, the PFO will provide the Committee with notice and an opportunity to negotiate any changes in the proposed regulations.

- b. **Final Report.** The Committee will review all comments and any clearance issues, including those of HUD and the OMB, received in response to any proposed rule and will submit a final report with recommendations to the Secretary of HUD concerning any proposal for change to the proposed rule.

VI. SAFEGUARDS FOR THE COMMITTEE MEMBERS

- a. **Good Faith.** All Members agree to act in a good faith effort to reach consensus in all aspects of these negotiations by encouraging the free and open exchange of ideas, views and information. Personal attacks and prejudiced statements will not be tolerated. If a Committee Member opposes a proposal, he or she shall: (i) state the reason for that opposition; and (ii) propose an alternative to the proposal that meets that Committee Member's concerns, for further consideration of the Committee.
- b. **Committee Member Diligence.** It is the responsibility of each Committee Member to remain constantly abreast of developing Committee and Working Group proposals.
- c. **Cooperative Communication.** Committee Members, Working Groups Members and other advisory staff are strongly encouraged to discuss and exchange ideas and information prior to the first and all subsequent meetings of the Committee with intent of exploring common positions on any issues that may be considered by the Working Groups and/or voted on by the Committee in formal session. In this way, formal Committee activities and Working Groups will be made more productive and less controversial in nature.
- d. **Information.**
 - 1. The Members of the Committee agree to exchange information in good faith.
 - 2. All Members of the Committee agree not to divulge information shared by others in confidence.
 - 3. The media release spokespersons, comprised of the two Co-chairs, will provide Committee communications with the media. The media is welcome to attend Committee meetings, but must identify and display their media credentials.
- e. **HUD Assistance to Committee Members.** HUD will provide requested information, technical assistance and/or formula data runs needed for the work of the Committee, as requested by any Committee Member. All requests and results shall be made available to the full Committee.

VII. SCHEDULE

The Committee shall endeavor in good faith to meet at least six times within the next 12 months, subject to the availability of funds, unless the Committee completes its work in fewer sessions.

VIII. FACILITATORS

The Committee will utilize facilitator(s). The role of the facilitator includes impartially facilitating Committee and certain Work Group discussions, assisting in the development of draft agendas, working to resolve any impasses that may arise, preparing meeting records, assisting in the location and circulation of background materials and materials the Committee develops and other functions the Committee requests. The facilitator will take no position on the issues before the Committee and serves at the will of the Committee. Committee Members, including Co-Chairs may not serve as facilitators.

IX. CO- CHAIRS AND REGIONAL AND HUD REPRESENTATIVES

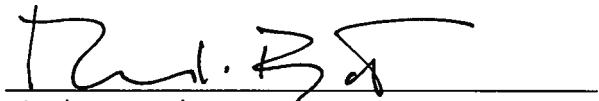
- a. **Co-Chairs**. Two tribal Co-Chairs shall be selected by Committee Members at the end of each session to co-chair the next session. The role of the Tribal Co-Chairs includes developing draft agendas with the PFO and facilitator, chairing Committee discussions, working to resolve any impasses that may arise, reviewing meeting summaries, assisting in the location and circulation of the background materials and materials the Committee develops, and other functions the Committee requests. The Tribal Co-Chairs will serve at the will of the Committee Members. Meetings of the Tribal Co-Chairs shall be open to the Committee.
- b. **PFO and HUD Representatives**. The PFO is the Assistant Secretary for Public and Indian Housing or her/his designee. The role of the PFO and the HUD Representative includes developing draft agendas, working to resolve any impasses that may arise, reviewing meeting summaries, and other functions the Committee requests.
- c. **Chair**. The Tribal Co-Chairs ("Chair") are entitled to vote on any matter, other than motion to overrule the Chair's own parliamentary ruling. The Chair may debate any matter by temporarily assigning the chair to the other Tribal Co-Chair, and then resuming the Chair when his or her comments are completed.
- d. **Appeal of Parliamentary Rulings**. A parliamentary ruling of the Chair may be overruled by an affirmative vote of 80% of the Committee.

CERTIFICATION

We certify that these Organizational Protocols were considered and endorsed as a result of a meeting conducted in Denver, Colorado on September 18, 2013 by the Native American Housing and Self-Determination Formula Negotiated Rulemaking Committee formed to review regulations concerning the allocation formula under the Native American Housing and Self-Determination Act.



Salish-Kootenai Housing Authority



Office of Native American Programs



Puyallup Nation Housing Authority



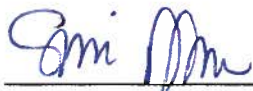
Ho-Chunk Nation



**Housing Authority of the Cherokee
Nation of Oklahoma**



Tohono O'odham Ki:Ki Association



Sami Jo Difuntorum

Confederated Tribes of Siletz Indians



Jason Dollarhide

Peoria Tribe of Indians of OK



Earl Evans

Haliwa-Saponi Indian Tribe



Deirdre Jones Flood

Washoe Housing Authority



Karin Lee Foster

Yakama Nation Housing Authority



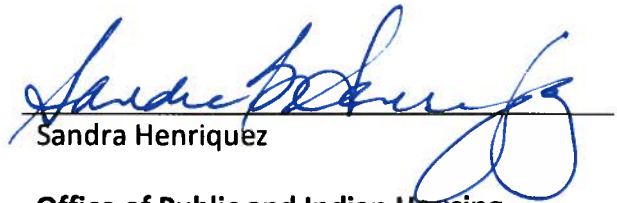
Carol Gore

Cook Inlet Housing Authority



Lafe Allen Haugen

**Northern Cheyenne Tribal Housing
Authority**



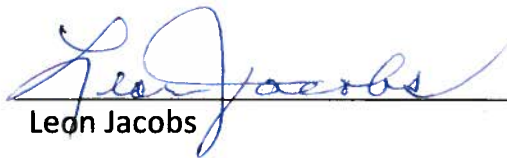
Sandra Henriquez

Office of Public and Indian Housing



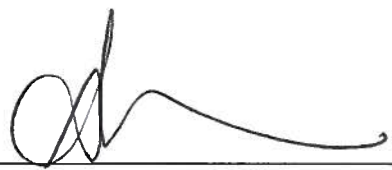
Richard Hill

Mille Lacs Housing Authority



Leon Jacobs

**Lumbee Tribe of NC and Mashpee
Wampanoag Tribe**



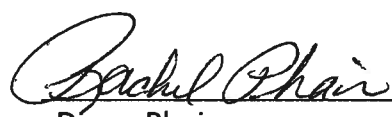
Teri Nutter

**Copper River Basin Regional Housing
Authority**



Samuel Okakok

Native Village of Barrow



Diana Phair

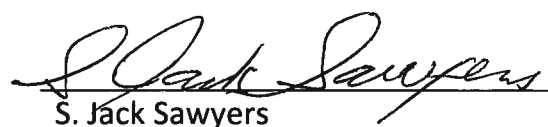
Rachel Phair (Alternate)

Lummi Tribe



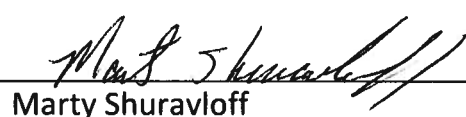
Michael Reed

**Cocopah Indian Housing and
Development**



S. Jack Sawyers

Paiute Tribe of Utah



Marty Shuravloff

Kodiak Island Housing Authority

Russell Sossamon

Robbie J. Hobgood

Russell Sossamon

Robbie J. Hobgood (Alternate)

**Housing Authority of the Choctaw
Nation of Oklahoma**

Michael Thom

Michael Thom

Karuk Tribe

Sharon Vogel

Sharon Vogel

Cheyenne River Housing Authority

Aneva Yazzie

Aneva Yazzie

Navajo Housing Authority